

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

CHIOU-FENG CHEN ET AL

Serial No. 10/803,183

Filed: March 17, 2004

For: SELF-ALIGNED SPLIT-GATE

NAND FLASH MEMORY AND

FABRICATION PROCESS

Examiner: Not Assigned

Art Unit: 2818

June 22, 2004

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The patents, publications and/or other information identified on the attached Form PTO/SB/08A are hereby disclosed in compliance with 37 C.F.R. 1.56, 1.97 and 1.98. A copy of each patent, publication or other information so identified is also attached. It is requested that each such patent, publication and/or other information be considered and made of record by the Examiner.

Since this statement is being filed prior to a first action on the merits, no fee or certification is believed to be required. However, in the event that a fee is required, the Commissioner is authorized to charge it to Deposit Account 50-2975, Order No. A-75030.

Respectfully submitted,

Edward S. Wright Reg. No. 24,903

(650) 330-0830

¹Except U.S. patents, U.S. patent applications filed after June 30, 2003, and international applications that have entered the national stage under 35 U.S.C. §371 after June 30, 2003, for which the requirement to submit a copy has been specifically waived.



CERTIFICATE OF MAILING

I hereby certify that the foregoing INFORMATION DISCLOSURE STATEMENT and FORM PTO/SB/08A are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on June 22, 2004.

Edward S. Wright

PTO/SB/08A (08-03)

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(Use as many sheets as necessary)

Complete if Known			
Application Number	10/803,183		
Filing Date	03/17/2004		
First Named Inventor	Chiou-Feng Chen		
Art Unit	2818		
Examiner Name	Unassigned		
Attorney Docket Number	Δ-75030		

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
<u> </u>	.	Number-Kind Code ^{2 (if known)}	 		, iga. 00 / ippoz.
		^{US-} 4,959,812	09-25-1990	Momodomi et al.	
		^{US-} 5,050,125	09-17-1991	Momodomi et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.